

# Concurrent disjoint Set Union

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'21

Distributed  
Computing

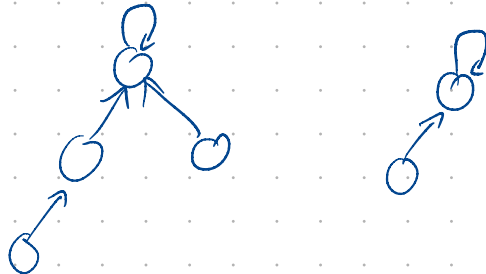
union-find: initially single-element sets

$\text{find}(x)$ : returns  $x$ 's representative

$\text{union}(x, y)$ : merges sets  $x, y$  and assigns a new representative to the set, if  $\text{find}(x) \neq \text{find}(y)$

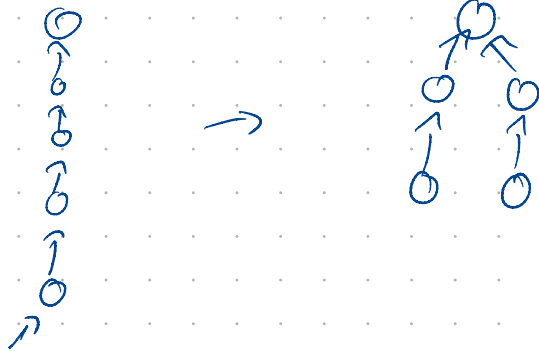
sequential solutions:  $n$  elements,  $m$  operations  $O(m \cdot \alpha(n, \frac{m}{n}))$  time  
 $O(\log n)$  per operation

forest, one rooted tree per set



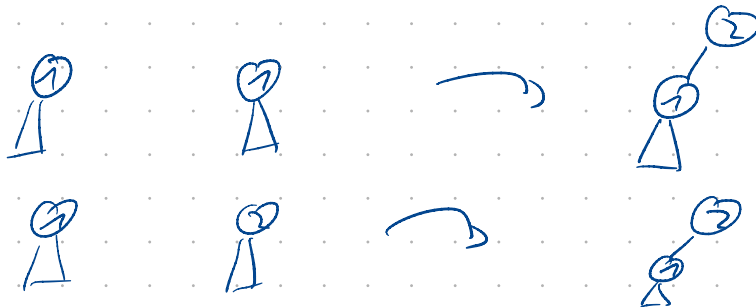
• find includes compaction

• e.g. splitting:  
u.p. = u.p.p.



•  $\text{union}(x, y)$  link ( $\text{find}(x)$ ,  $\text{find}(y)$ ) with "clever" link.

• e.g. link / union by rank



Goal: implement concurrent solution for <sup>union</sup> - find on an <sub>parallel</sub> asynchronous random-access machine APRAM with  $p$  processes

APRAM: . processes are completely asynchronous  
. each process has private memory  
. shared memory with concurrent reads

. compare-and-swap:

$CAS(x, y, z)$ :  $\left\langle \begin{array}{l} \text{if } *x = y \\ \quad \uparrow \\ \text{address: } *x = z \\ \quad \text{return true} \\ \text{else:} \\ \quad \text{return false} \end{array} \right\rangle$

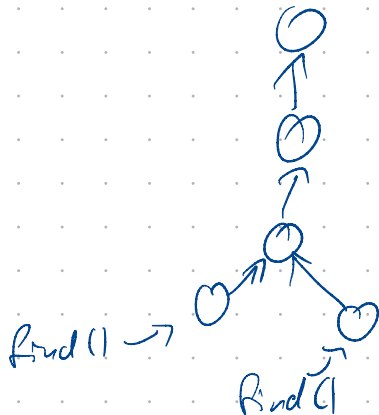
.  $DCAS(x, y, z, a, b, c)$ :  $\left\langle \text{if } *x = y \ \& \ *a = b : \right.$   
 $\quad \text{return true}$

$\rangle$

Idea: Use forest with splitting and union by rank

$$O(m \cdot (\alpha(n, \frac{m}{np}) + \log(\frac{np}{m} + 1))) \text{ time altogether}$$
$$O(\log n) \text{ time per operation}$$

issue: interference!



$p_1$  union(a, b)

$p_2$  union(b, c)

$p_3$  union(c, d)



```

union(x, y):
    u = find(x)
    v = find(y)
    while u != v
        link(u, v)
        u = find(u)
        v = find(v)

```

interference:

- link() fails
- find() doesn't return a root

find with one-try-splitting:

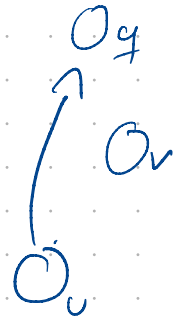
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find(x):
    u = x
    v = u.p
    w = v.p

    while u != w:
        CAS(u.p, v, w)
        u = v
        v = u.p
        w = v.p

    return v

```



} distribute change to other processes

find with two-way-splitting:

find(x):  
   $u = x$   
   $v = u.p$   
   $w = v.p$

while  $v \neq w$ :

$CAS(u.p, v, w)$

$v = u.p$

$w = v.p$

$CAS(u.p, v, w)$

$u = v$

$v = u.p$

$w = v.p$

return  $v$

}  $u.p$

same-set(x, y):

$u = \text{find}(x)$

$v = \text{find}(y)$

while  $u \neq v$ :

$w = u.p$

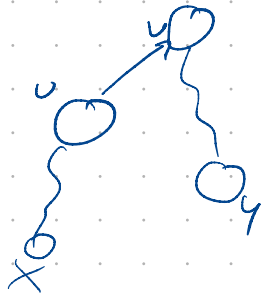
if  $u = w$ :

return false

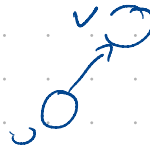
$u = \text{find}(u)$

$v = \text{find}(v)$

return true



link(u, v):  
 $r = u.r$   
 $s = v.r$



if  $v < s$ :

CAS( $(u.p, u.r)$ ,  $(u, r)$ ,  $(v, r)$ )

else  $s > v$

CAS(...)

else:

eLink( $u, v, r$ )



=>



eLink( $u, v, r$ ):

DCAS( $(u.p, u.r)$ ,  $(u, r)$ ,  $(v, r)$

$(v.p, v.r)$ ,  $(v, r)$ ,  $(v, r+1)$ )

$\leadsto O(\log n)$  height



fixing the cheating:



allocation of ledgers can be cumbersome